



(19) Europäisches Patentamt  
European Patent Office  
Office européen des brevets

(11) Publication number:

0 359 488  
A2

(12)

## EUROPEAN PATENT APPLICATION

(21) Application number: 89309157.9

(51) Int. Cl.5: A61K 7/40

(22) Date of filing: 08.09.89

(30) Priority: 16.09.88 US 245660

(71) Applicant: NEUTROGENA CORPORATION  
5755 West 96th Street  
Los Angeles California 90045(US)

(43) Date of publication of application:  
21.03.90 Bulletin 90/12

(72) Inventor: Matravers Peter  
2270 Ardmore Road  
San Marino California 91108(US)

(84) Designated Contracting States:  
AT BE CH DE ES FR GB GR IT LI LU NL SE

(74) Representative: Coxon, Philip et al  
Eric Potter & Clarkson 14 Oxford Street  
Nottingham NG1 5BP(GB)

(54) **A composition of matter.**

(57) A skin protective composition for exhibiting enhanced water repellency and conditioning effects containing aliphatic waxes and hydrophobic silicones in a nonallergenic, non-toxic, cosmetically acceptable carrier. The composition is useful to protect mammals from solar radiation and in the treatment of diaper rash.

EP 0 359 488 A2

The present invention relates generally to compositions of matter, more particularly to such compositions for waterproofing mammalian skin, and in particular to a water resistant cream conditioner.

In an attempt to improve substantivity on skin surfaces, the prior art has traditionally used cationic fatty derivatives, quaternary ammonium salts, resins and gums as additives in cream/lotion bases. Little work has  
5 been done to improve the emulsion base itself apart from routine product stability adjustments. In recent years, raw material vendors flooded the market with these additives to further complicate the dilemma. Indeed the formulation of good cream/lotions has become secondary to the incorporation of these inelegant additives to create compositions which neither look nor feel like cosmetic creams. Instead, they are very  
10 sticky, and leave an uncomfortable feel throughout the usage period. User compliance with such compositions is therefore quite poor. Moreover, the use of certain cationic/quaternary ingredients will cause skin and eye irritations. In addition resins and gums can cause "powdering" upon drying from too great a friability which further contributes to poor product appearance and inferior skin substantivity.

As a result, many products on the market today give the consumer a false sense of security because of the inability of the active ingredients thereof to remain in place when the user is engaged in water activities,  
15 or encounters rain or other liquids which literally wash the active ingredients away.

It is an object of this invention to provide an improved composition of matter.

According to one aspect of this invention there is provided a composition of matter for waterproofing mammalian skin containing a pharmacologically acceptable carrier and, in weight percent, from about 1 to about 10 percent C<sub>18</sub>-C<sub>36</sub> aliphatic wax and from 2 to about 20 percent anhydrous hydrophobic silicone,  
20 said wax and said silicone being dispersed in said carrier.

According to another aspect of this invention there is provided a method of treating an infant with an area of diaper rash comprising applying to said area of diaper rash a preparation containing, in weight percent, from about 1 to 10 percent C<sub>18</sub>-C<sub>36</sub> aliphatic wax, from about 1 to about 20 percent anhydrous hydrophobic silicone, and a pharmacologically acceptable carrier, said wax and said silicone being  
25 dispersed in said carrier.

It is an advantage of the present invention that it comprises an effort to address the above problem and provide elegant topically applied preparation which is water repellent, moisturizes the skin, and further serves as a drug delivery system in which sunscreens, and like topically effecting drugs/chemicals can be applied to human skin. The base composition of the present invention can be formulated to provide a  
30 variety of cosmetic, personal care and/or pharmaceutical preparations, such as hand creams, lip balm, facial cosmetics, diaper creams, ostomy creams, medicinal creams and the like. Thus, a preparation is herein described which possesses many positive attributes including superior water repellency, resistance to being washed off, physiological mildness, and a pleasant feel which enhances user comfort and hence, user compliance.

35 One embodiment of the present invention comprises a skin protective composition containing aliphatic waxes and hydrophobic silicones admixed into a nonallergenic, nontoxic cosmetically acceptable carrier. This combination exhibits surprisingly enhanced water repellency and skin conditioning effects while substantially eliminating the greasy appearance and tacky feel normally associated with water barrier products. Unexpectedly, the composition also enhances moisturization at a level that is far superior to  
40 conventional diaper creams.

Another embodiment of the present invention comprises a pharmaceutical/cosmetic preparation form in a base containing a synthetic aliphatic wax, that is, a high molecular weight C<sub>18</sub>-C<sub>36</sub> saturated synthetic wax fatty acid admixed with one or more hydrophobic silicones. The preparation when topically applied to  
45 human skin exhibits surprising enhanced water repellency and skin conditioning effects while substantially eliminating the greasy appearance and tacky feel normally associated with water barrier products.

The preparation is uniquely adapted for use as a diaper cream in that it combines moisturization with water resistance thereby substantially reducing incidents of diaper rash.

Yet another embodiment of the present invention comprises a pharmaceutical/cosmetic preparation and more particularly a cream/lotion base containing a synthetic aliphatic wax, that is, a high molecular weight  
50 C<sub>18</sub>-C<sub>36</sub> saturated synthetic wax fatty acid such as Syncrowax® or an equivalent thereof developed for such use, admixed with one or more hydrophobic silicones, such as, cyclomethicone, dimethiconol, dimethicone, phenyltrimethicone, and the like.

55 The foregoing mixture forms a film when it is topically applied to a surface. The film thus formed is resistant to wetting by moisture. Further, as will appear, the base composition of the present invention has far superior water barrier properties than can be obtained with the quaternaries; cationic polymer resins and gums heretofore employed as waterproofing agents. Further, no flaking or leaching of the product occurs even during extended use. An important factor of this new combination of ingredients is that it provides a silky and non-greasy lubricant which enables the active ingredient disposed therein to be spread evenly and

smoothly upon the skin.

The amount of C<sub>18</sub>-C<sub>36</sub> aliphatic saturated and hydrophobic silicones used in this composition can, as will appear, vary greatly depending on the degree of waterproofing and skin feel desired for a particular product.

5 Preferably, the synthetic aliphatic wax will range from about one to about ten percent by weight of the total composition and the hydrophobic silicones will vary from about two to about twenty percent by weight.

A number of film-forming agents, polymers, and cosmetic resins can be employed in combination with the present base formulation when product design considerations warrant their inclusion. Such agents, polymers and resins include: polyvinylpyrrolidone; PVP/eicosene copolymer; vinylpyrrolidone/vinyl acetate 10 copolymers in which the monomer ratio ranges from 70/30 to 30/70; vinyl acetate/unsaturated carboxylic acid copolymers, for example 90% of vinyl acetate and 10% of crotonic acid; terpolymers of methylmethacrylate/stearyl methacrylate/stearyl methacrylate/dimethylaminoethyl methacrylate which have been completely quaternised with dimethyl sulphate, the monomers being used particularly in the ratio 20/23/57; a terpolymer of vinyl acetate/allyl stearate/ allyloxyacetic acid, especially in the ratio of 80/15/5; 15 maleic anhydride/methyl vinyl ether copolymers such as "Gantrez AN" and the ethyl, isopropyl and butyl esters thereof; and maleic anhydride/butyl vinyl ether copolymers. Another unexpected advantage of the present invention occurs when those polymers which are known to produce a sticky or tacky feel in conventional cream bases are used in the present invention, they create a smooth and silky composition which is neither tacky nor sticky.

20 For pharmaceutical preparations, a number of medications may be employed as an active ingredient when uniformly dispersed throughout the cream-conditioner of the present invention including steroids, such as, hydrocortisone, betamethasone and the like; antibiotics, such as bactimycin, erythromycin, and the like; antifungals such as, tolnaftate, clotrimazole, and the like; and other popular topical reagents such as benzoyl peroxide for the treatment of acne; diethyltoluamide for insect repellency and the like.

25 A prototype water barrier cream embodying the present invention to provide water repellency and conditioning can be formulated as follows:

	Ingredient	% (w/w)
30	Mineral Oil	67 - 87
	Syncrowax® HRS-C	1 - 2
	Syncrowax® HGL-C	1 - 4
	Hydrophobic silicones (Q <sub>2</sub> 1401)	5 - 20
	Silica	6
35	dl-alpha tocopherol	.1 - 1

To prepare the cream conditioner base of the present invention for sunscreen application, several ultraviolet absorbing sunscreen agents can be incorporated therein with good product stability. The agents 40 include oxybenzone (2-hydroxy-4-methoxy-benzophenone); dioxybenzone (2,2'-dihydroxy-4-methoxy-benzophenone); aminobenzoic acid; cinoxate (2-ethoxyethyl-p-methoxycinnamate); diethanol-amine-p-methoxy-45 cinnamate; digalloyl trioleate ethyl 4-bis (hydroxypropyl) aminobenzoate; 2-ethylhexyl-2-cyano-3, 3-diphenylacrylate; ethylhexyl-p-methoxycinnamate; 2-ethylhexyl salicylate; glyceryl aminobenzoate; homosalate (3,3,5-tri-methylcyclohexyl salicylate); triethanolamine salicylate; 2-phenylbenzimidazole-5-sulfonic acid; sulisobenzene (2-hydroxy-4-methoxybenzophenone-5-sulfonic acid); Padimate A (amyl-p-dimethyl-aminobenzoate); Padimate O (octyl dimethyl paraaminobenzoate); 4-t-butyl-4'-methoxy-dibenzoyl-methane; the combination of 2-hydroxy-1, 4-naphthoquinone with dihydroxyacetone; and menthyl anthranilate.

In one practice of the present invention, a suitably sized stainless steel tank is charged with mineral oil and the dual mixers (the sweep rotating at about 10 RPM clockwise while the turbine rotates at about 12 RPM counterclockwise) are activated.

Next, the batch is heated to 110°C and, while heating, Syncrowax® is added (sweep at 14 RPM and turbine at 24 RPM) until it is completely and homogeneously dispersed. When desired for formulation purposes, other waxes or fatty alcohols will be added at this time while turbine rotation is maintained.

55 Next, the silica or other thickener such as stearalkonium hectorite, propylene carbonate and the like is added to the batch while the mixers are maintained at the higher speed and the temperature is maintained above 78-80°C for one hour. Thereafter, with continued stirring, a suitable antioxidant, such as dl-alpha-tocopherol, is added to the batch and blended therethrough.

The batch is then cooled at a rate of about 0.5°C/minute. When a temperature of 40-50°C is reached,

the hydrophobic silicone (Dow Q21401) is added with continuous mixing until the batch reaches room temperature, i.e., from about 10°C to about 25°C. The batch, subject to Quality Control approval, is now ready for packaging.

To further aid in the understanding of the present invention and not by way of limitation, the following 5 examples are presented.

## EXAMPLE I

10 A suitably sized stainless steel tank is charged with mineral oil and the dual mixers (the sweep rotating at about 10 RPM clockwise while the turbine rotates at about 12 RPM counterclockwise) are activated.

Next, the batch is heated to 110°C and, while heating, Syncrowax® is added (sweep at 14 RPM and turbine at 24 RPM) until it is completely and homogeneously dispersed. When desired for formulation 15 purposes, other waxes or fatty alcohols will be added at this time while turbine rotation is maintained.

Next, the silica or other thickener such as stearalkonium hectorite, propylene carbonate and the like is added to the batch while the mixers are maintained at the higher speed and the temperature is maintained above 78-80°C for one hour. Thereafter, with continued stirring, a suitable antioxidant, such as dl-alpha-tocopherol, is added to the batch and blended therethrough.

20 The batch is then cooled at a rate of about 0.5°C/minute. When a temperature of 40° -50°C is reached, the hydrophobic silicone (Dow Q21401) is added with continuous mixing until the batch reaches room temperature, i.e., from about 10° to about 25°C. The batch, subject to Quality Control approval, is now ready for packaging.

## 25 EXAMPLE II

30 Using the foregoing procedure of Example I, a lip moisture stick embodying the present invention was prepared having the following composition (in weight percent). The ingredients, other than the synthetic aliphatic wax and hydrophobic silicones which are key, are shown as representative and known equivalents may be substituted for any of the listed non-key ingredients throughout these Examples.

	Lip Moisture Stick	% (w/w)
35	Syncrowax® HGL-C	12
	Hydrophobic silicones	15
40	Stearly alcohol	20
	Castor oil	25
	Cetyl Alcohol	3
	Mineral Oil	25

## 45 EXAMPLE III

50 Using the procedure of Example I, a water proof sunscreen cream was prepared having the following composition (in weight percent):

5	Mineral Oil	39.5 - 78
	Octyl methoxycinnamate	1 - 7.5
	Syncrowax® HRS-C	5 - 10
	Benzophenone-3	1 - 5
	Silica	2 - 10
	Hydrophobic silicone	5 - 15
	Stearalkonium hectorite and propylene carbonate	1 - 6

10

## EXAMPLE IV

15

Using the procedure of Example I, a medicated cream was prepared having the following composition (in weight percent):

20

Medicated Cream	%
Hydrocortisone	0.5
Mineral Oil	62.5
Syncrowax® HRS-C	2
Syncrowax® HGL-C	4
Silica	6
Hydrophobic silicone Q21401	15
Hydrophobic starch	10

30

## EXAMPLE V

35

Using the procedure of Example I, a water barrier cream was prepared having the following composition (in weight percent):

40

Water Barrier Emulsion	
Water	80.50
Syncrowax® HGL-C	3.5
Glyceryl stearate and PEG 100 stearate	2
Sorbitan stearate	2
Polysorbate 60	2
Hydrophobic silicone Q21401	10

50

## EXAMPLE VI

55

Using the procedure of Example I, a prototype diaper cream formula was prepared and thereafter clinically tested at the Pediatric Clinic of Paris Hospital in France. The test formula (in weight percent) consisted of:

Mineral oil	59.66
Aliphatic waxes	5.34
Hydrophobic silicone	14.00
PVP/eicosene copolymer	2.00
Dry-flo starch	13.00

5

All cases tested showed surprising improvement or suppression of symptoms related to dryness,  
10 itching and redness of the buttocks of the babies. This was only after five days of application.

Formula #	Number of patients tested	Improvement
276-2	6	>75%
	2	>95%
	2	>50%
75-5	6	>75%
	1	>95%
	2	>50%
77-1	5	>75%
	2	>95%
	1	>50%
79-1	6	>75%
	2	>95%
	1	>50%

15

20

25

30

## EXAMPLE VII

35 A sun screen was prepared according to Example III and tested according to the procedures and the criteria outlined in the "Proposed Monograph for OTC Sunscreen Drug Products" issued by the F.D.A. on August 25, 1978 (43 Fed. Reg. 166 at 38206-38269).

The purpose of the tests was to determine the Sun Protection Factor (SPF) efficacy on the skin of human subject, before and after a total of 80 minutes of water immersion.

40 The wet control test material, Johnson & Johnson SUNDOWN™ moderate (SPF = 4), and the static control, 8% Homosalate, were prepared according to FDA specifications (Fed. Reg., Ibid at 38259). The test product was prepared according to Example III.

The light source was a Solar Ultraviolet Simulator, Model 10S (Fed. Reg., Ibid at 38260) consisting of a 150 watt Xenon arc lamp with all required optical elements and a regulated power supply.

45 A total of five fair skinned subjects (all female, age range 28 to 60) with skin types I, II, and III were placed on test.

Testing was performed using the following procedures.

The physical examination determined the presence of sunburn, suntan, scars, active dermal lesions, and uneven skin tones on the areas of the back to be tested. The presence of nevi, blemishes or moles was acceptable if they would not interfere with the study results. Excess hair on the back, if present, was shaved.

50 A test site area served as an area for determining the subject's Minimal Erythema Dose (MED) after application of either the sunscreen product or for determining the subject's MED of unprotected skin (control site). The subject's MED is the time of exposure that produces that minimally perceptible erythema at 16 to 24 hr post-exposure. The area to be tested was the back between the beltline and the scapulae (shoulder blade) and lateral to midline. The test site areas were horizontal or vertical, and rectangular or square. Each test site area for applying a product or standard control was 50 cm sq. These test sites were outlined with gentian violet while the person to be tested was in an upright position.

Each test site area of the test was divided into seven subsite areas that were at least 1 cm sq. For both the test product and the control product, two test site areas were used - one for before water immersion and one for after 80 minute water immersion. Placement of test site areas were randomized among the subject. One additional test site area was used for 8% HMS SPF determination on each subject as per FDA

5      Proposed Monograph.

To insure standardized reporting and to define a product's Sun Protection Factor (SPF) value, the application of the product is expressed on a weight basis per unit area which establishes a standard film. The test sunscreen product and the sunscreen standard application is 2 mg/cm sq or 2  $\mu$ l/cm sq. The 50 cm sq test site area requires 100 mg of a product or 100  $\mu$ l (assuming a specific gravity of 1) to obtain a standard 2 mg/cm sq test application. For the test product, a cream, the viscosity is such that the material was weighed and applied to the appropriate areas by spreading with a finger cot.

10     Before exposing the test site area after applying a product, a waiting period of at least 15 minutes was employed.

15     A series of UV light exposure (units of time) were administered to the subsites on each subject with the solar simulator. One series of exposures was administered to the untreated, unprotected skin to determine the MED. The MED is the time of exposure that produces the minimally perceptible erythema at 16 to 24 hour post-exposure. The MED of the subject's unprotected skin was determined prior to the test day, then again on the test day.

20     Each of the protected test sites (controls and/or test sunscreen product) were also exposed to UV light. The standard time intervals selected are a geometric series represented by  $(1.25)^n$ , wherein each exposure time interval is 25 percent greater than the previous time. (The reason for using the geometric sequence of UV exposure is to maintain the same relative uncertainty, expressed as a constant percentage), independent of the subject's sensitivity to UV light, regardless of whether the subject has a high or low MED). The exact series of exposures to be given was determined by the MED of the unprotected skin.

25     After UV irradiation of one test site each for both the test sunscreen and the above-cited control sunscreens, each subject entered the whirlpool for 20 minutes; whirlpool agitation was at a moderate level. This was followed by a 20 minute rest period, followed by a second 20 minute period of activity in the whirlpool, followed by a second 20 minute rest period, followed by a third 20 minute period of activity in the whirlpool, followed by a third 20 minute rest period, followed by a fourth 20 minute period of activity in the whirlpool. Care was taken and each subject was continuously monitored to insure that the "after" test site areas were untouched. At the conclusion of the 80 minute water tests, the test sites were air dried without toweling. The second protected test site of both the test sunscreen and the above cited control was then exposed to UV light, using the identical method and series of exposures as were used for the "before" UV light irradiation.

30     Each subject reported back at 16 to 24 hours post-exposure, at which time each test site area was read to determine the Minimal Erythema Dose (MED) of both the unprotected and the protected skin.

35     For both the test sites irradiated prior to either immersion and the test sites irradiated after 80 minute water immersion, the SPF of the test sunscreen is then calculated from the exposure time interval required to produce the MED of the protected skin, and from the exposure time interval required to produce the MED of the unprotected skin (control site), i.e.,

**SPF - MED Protected Skin**

**MED Unprotected Skin**

45

No adverse reactions were observed in any of the subjected who were tested as per the testing procedures described above. The Sun Protection Factor (SPF) value for the sunscreen, as well as for the controls, are as follows:

50

55

Product	SPF	SPF Labeling Category
95-90-03		
Before Immersion	16.70	15.00 or greater (Ultra)
After Immersion	16.70	15.00 or greater (Ultra)
Controls		
J&J SUNDOWN Moderate		
Before Immersion	5.00	4.00 to 5.99 (Moderate)
After Immersion	4.60	4.00 to 5.99 (Moderate)
8% Homosalate	4.40	4.00 to 5.99 (Moderate)

15

From the foregoing, it is apparent that new and useful water resistant composition has been herein described and illustrated which fulfills all of the aforeslated objectives in a remarkably unexpected fashion. It is of course understood that such modifications, alterations and adaptations as may readily occur to the artisan confronted with this disclosure are intended within the spirit of this disclosure which is limited only by the scope of the claims appended here.

### Claims

- 25        1. A composition of matter for waterproofing mammalian skin containing a pharmacologically acceptable carrier and, in weight percent, from about 1 to about 10 percent C<sub>18</sub>-C<sub>36</sub> aliphatic wax and from 2 to about 20 percent anhydrous hydrophobic silicone, said wax and said silicone being dispersed in said carrier.
- 20        2. A composition of matter according to Claim 1 in which said carrier is a mineral or vegetable oil or aliphatic/branched chain ester.
- 30        3. A composition of matter according to Claim 1 in which said carrier is an anhydrous solvent.
- 35        4. A composition of matter according to Claim 1 in which said carrier is an emulsion.
- 40        5. A composition according to any preceding claim in which said hydrophobic silicone is selected from the group consisting of: cyclomethicone; dimenthicone; phenyltrimethicone; dimenthiconol; and mixtures thereof.
- 45        6. A composition of matter according to any preceding claim containing up to about 20 percent by weight of UV-A and UV-B blockers.
- 50        7. A composition of matter according to Claim 1 containing in weight percent from about 67 up to about 87 percent mineral oil, from about 2 up to about 6 percent synthetic aliphatic (C<sub>18</sub>-C<sub>36</sub>) wax, from about 5 percent up to about 20 percent hydrophobic silicones; about 6 percent silica and from about 0.1 up to about 1 percent d1-alpha tocopherol.
- 55        8. A method of protecting and waterproofing mammalian skin from the harmful effects of solar radiation having a wave length of from 700-2600 nanometers comprising applying to such mammalian skin a preparation containing, in weight percent, from about 1 to about 10 percent C<sub>18</sub>-C<sub>36</sub> aliphatic wax and from about 1 to about 20 percent anhydrous hydrophobic silicone, each being dispersed in a pharmacologically acceptable water-free carrier and admixed with an ultraviolet blocking agent.
- 60        9. A method of protecting mammalian skin according to Claim 8 in which said carrier is an anhydrous cream/ointment; or an anhydrous solvent; or an oil; or an emulsion.
- 65        10. A method of treating an infant with an area of diaper rash comprising applying to said area of diaper rash a preparation containing, in weight percent, from about 1 to 10 percent C<sub>18</sub>-C<sub>36</sub> aliphatic wax, from about 1 to about 20 percent anhydrous hydrophobic silicone, and a pharmacologically acceptable carrier, said wax and said silicone being dispersed in said carrier.

55